

MS PETITION  
PATENT  
3885-0102P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Hisashi YAMADA et al. Conf.: 2551  
Appl. No.: 10/046,739 Group: 2814  
Filed: January 17, 2002 Examiner: H. TRINH  
For: THIN-FILM CRYSTAL WAFER HAVING PN  
JUNCTION AND METHOD FOR FABRICATING THE  
WAFER

TECHNOLOGY CENTER 2800

NOV 25 2003

RECEIVED

SECOND SUBMISSION OF PETITION UNDER 37 C.F.R. § 1.181  
TO WITHDRAW THE HOLDING OF ABANDONMENT

MS PETITION

Handcarry: Crystal Plaza 4  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NOV 25 2003

Sir:

In response to the Notice of Abandonment dated July 28, 2003, applicant hereby respectfully submits for the second time a petition under the provisions of 37 C.F.R. § 1.181 and MPEP § 711.03(c) for a decision to withdraw the holding of abandonment due to failure to receive an Office Action.

The Notice of Abandonment dated July 28, 2003 indicates that this application was abandoned in view of the applicant's failure to respond to an Office Action mailed on November 20, 2002. However, this Office Action was never received by the offices of the undersigned, and a search of the file jacket for the present application and the firm docketing records reveals that the Office Action of November 20, 2002 was never received. The

offices of the undersigned did not become aware of the Office Action until advised of the holding of abandonment.

The fact that the Office Action of November 20, 2002 was never received in the offices of Birch, Stewart, Kolasch & Birch, LLP is evidenced by Exhibits I, II, and III attached hereto.

**Exhibit I** is a copy of the front page of the file jacket of Appl. No. 10/046,739 (which corresponds to Attorney Docket No. 3885-0102P). It is the policy in our office to record all information regarding Office Actions and responses on the front page of the file jacket. As the Petitions Examiner will note, there is no entry for the Office Action dated November 20, 2002 on the front page of the file jacket of the above-referenced application.

**Exhibit II** is a copy of the computer-generated daily docket sheet of Birch, Stewart, Kolasch & Birch, LLP for the date of February 20, 2003 (the due date for said Office Action), containing entries made by our Docketing Department of due dates in response to PTO actions. It is the policy of our offices to docket all due dates for Office Actions received from the USPTO into our computer docketing system.

Although Application Numbers, Titles, Client Names, and Inventor Names have been redacted from this Exhibit to avoid public disclosure of these matters once the present application is patented, it is still clear that there is no entry for the due date of February 20, 2003 which

corresponds to the current application, Attorney Docket No. 3885-0102P.

**Exhibit III** is a copy of the manual docket book of Birch, Stewart, Kolasch & Birch, LLP for the due date of February 20, 2003. It is the policy of our offices to docket all due dates for Office Actions received from the USPTO into our manual docketing book.

Although Application Numbers and Inventors Names have been redacted from this exhibit to avoid public disclosure of these matters once the present application is patented, there is no entry for the due date for the above-referenced Attorney Docket No. 3885-0102P.

The undersigned certifies that he has reviewed the computer-generated daily docket sheets and the manual docket book for the due date of February 20, 2003 and did not locate an entry that corresponds to Appl. No. 10/046,739 to inventors Hisashi YAMADA et al.

Also enclosed is a date-stamped receipt of our first submission of this Petition and evidence to the USPTO.

In view of the above-described facts, it is respectfully submitted that the abandonment of the present application was unavoidable and due solely to problems with mailing of an Office Action since the Office Action dated November 20, 2002 was never received in the offices of Birch, Stewart, Kolasch and Birch. The present Petition is timely filed for this purpose.

Appl. No. 10/046,739

Since the above-mentioned Office Action was never received in the offices of the undersigned, it is believed that no petition fee is necessary in connection with this Petition.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

Andrew D. Meikle, #32,868

ADM/csm  
3885-0102P

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachment(s)

(Rev. 04/30/03)

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NOV 25 2003  
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Papers Filed herewith on: Aug. 20, 2003 54  
DOCKET NO.: 3885-0102 PATTY.: ADM  
APPLICANT(S): YAMADA et al.  
APPLN. NO: 10/046,739 FILED: 1-17-02  
PATNO.: \_\_\_\_\_

- ☐ New Application with Transmittal Letter
- ☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
- ☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
- ☐ Filing Under 37 CFR 1.53(d) (CPA)
- ☐ Filing Under 37 CFR 1.114(RCE)
- ☐ Specification Consisting of: \_\_\_\_\_ pages
- ☐ Combined Declaration & Power of Attorney
- ☐ Assignment / Cover Letter
- ☐ Letter to Official Draftsman
- ☐ Drawings \_\_\_\_\_ Sheets ☐ Formal ☐ Informal ☐ Red-ink
- ☐ Completion of Filing Requirements, PCT/D/EO/905  
or Formalities Letter and Executed Declaration
- ☐ Priority Document(s) / Cover Letter, No. Doc \_\_\_\_\_
- ☐ Amendment: \_\_\_\_\_
  - ☐ Transmtl Ltr ☐ Large Entity ☐ Small Entity
- ☐ Response \_\_\_\_\_
- ☐ Information Discl Stmt. PTO-1449(s) \_\_\_\_\_ doc(s)
- ☐ Notice of Appeal ☐ Appeal Brief
- ☐ Issue Fee Transmittal
- ☐ FEES: \_\_\_\_\_

☒ Letter: Response to Notice of Holding of Abstract  
☒ Other: Copy of 2-20-03 docket  
& Copy of file jacket covr

Receipt is hereby acknowledged of the papers filed as  
indicated in connection with the above identified case.  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Due Date: 9-28-03  
Handcarry: \_\_\_\_\_

